

## Certificate of compliance checklist

(adapted from the FPA Monitoring & Assessment Protocols v.2.1)

Type of plan.....Date of Inspection.....

Expiry date of plan.....Inspected by .....

Applicant(s) name: .....FPP No ..... Coupe No .....

The provisions of the FPP have been:

1. Complied with (Yes);
2. Not complied with (No) (give details); or
3. Not applicable (N/A)

## Field assessment

### Roading

No	Questions	Yes or No or N/A
	<b>Planning and Location</b>	
12	Has road location minimised soil erosion and stream sedimentation by optimising the use of ridge tops, benches, lesser slopes and flats?	
13	Have valley bottom road locations minimised the potential for stream sedimentation?	
14	Has roading avoided high or very highly erodible soils or taken precautions to prevent erosion	
	<b>Road Standard</b>	
15	Has the road standard proven adequate to the haulage task and been sufficiently compacted or continuously repaired to avoid problems?	
	<b>Drainage</b>	
16	Are table drains properly constructed and able to carry likely flows?	
17	Have culverts, or other forms of cross drainage been provided where necessary and adequately located?	
18	Have culverts been effectively designed and constructed?	
19	Has the road been adequately drained?	
	<b>Access Tracks</b>	
20	Have access tracks been adequately drained and stabilised after use?	
	<b>Earthworks</b>	
21	Are cuts and fills balanced and/or spoil disposed of properly?	
22	Are batter slopes stable?	
	<b>Unstable Areas</b>	
23	Have areas of potential instability been recognised and managed effectively?	
	<b>Steep Country</b>	
24	Have code prescribed measures been taken on steep country roads?	
	<b>Clearing</b>	
25	Has clearing width and unnecessary top soil stripping been sensibly minimised?	
	<b>Crossings</b>	
26	Have new or upgraded stream crossings been adequately located?	
27	Have new or upgraded stream crossings been adequately designed?	
28	Have new/upgraded stream crossings been adequately constructed?	
29	Has drainage been properly diverted and filtered within 50 m of the watercourse?	

30	Have temporary crossings been confined to class 3 and 4 and dry class 2 watercourses and been properly removed and drained or upgraded?	
	<b>Surfacing</b>	
31	Have permanent all weather roads been suitably surfaced?	
	<b>Road Upgrading and Closure</b>	
32	Have all roads and tracks that are nonconforming or environmentally hazardous been upgraded, closed or rehabilitated?	
33	Have all existing roads, retained for fire control or management, been left in a condition that minimises erosion?	
34	Have roads, which are of no further use, been left in a condition to minimise erosion?	
	<b>Quarries and Borrow Pits</b>	
35	Have quarries and borrow pits been necessary and properly located, managed and rehabilitated?	
	<b>Road Maintenance</b>	
36	Has an effective maintenance system been applied?	
	<b>General</b>	
37	Has the FPP/variations/code been followed?	

Comments:

## Harvesting

No	Questions	Yes or No or N/A
	<b>Extraction Design</b>	
38	Is the extraction design consistent with the code?	
	<b>Extraction Equipment</b>	
39	Has appropriate harvesting equipment been used?	
	<b>Felling</b>	
40	Has the harvesting boundary been clearly marked?	
41	Has harvesting been confined within the harvesting boundary?	
42	Has all debris been retained within (or removed from beyond) the harvesting boundary?	
	<b>Wet Weather Limitations</b>	
43	Has snigging complied with wet weather limitations?	
44	Has snigging under wet conditions avoided the creation of by pass tracks?	
45	Has cartage complied with wet weather limitations?	
	<b>Snig Tracks</b>	
46	Have snig tracks been located and constructed so that they can be effectively drained?	
47	Have snig tracks avoided crossing Class 1 and 2 watercourses?	
48	Have snig track crossings on class 3 and 4 streams been appropriately minimised, located, marked, constructed and used?	
49	Have major tracks, intended to be used in wet periods, taken all steps to minimise avoidable impact?	
50	Has snigging avoided serious impact?	
51	Has snigging along watercourses been avoided unless authorised?	
52	In thinning operations, has snig track location and management effectively minimised damage to retained trees and protected soil/ water values?	
53	Have snig tracks been progressively drained?	

54	Does snig track drainage comply with code specifications?	
55	Has snig track drainage been effective?	
56	Has snig track rutting been stabilised and/or cording lifted?	
57	Have snig tracks crossings been removed and stabilised?	
	<b>Landings</b>	
58	Are landings appropriately located?	
59	Are landings appropriately sized?	
60	Have landings been properly constructed?	
61	Have landings been properly managed and stabilised?	
	<b>Native Forest Streamside Reserves</b>	
62	Is the width of the stream side reserves or /machinery exclusion zones correct?	
63	Have streamside reserves against class 1, 2 and 3 watercourses and, where necessary, Class 4 MEZ, been clearly marked?	
64	Have class 4 streams been upgraded to new guidelines, where necessary?	
65	Has felling avoided unreasonable damage to SSRs and MEZs?	
66	Has machinery been excluded from SSRs and MEZs unless at crossings or approved for harvesting, salvage or restoration works?	
67	Has harvesting slash been kept out of/doesn't threaten SSRs or Class 4 MEZs?	
68	Has approved felling in SSRs and machine felling in MEZs complied with the code?	
	<b>Plantation Streamside Reserves</b>	
69	Has harvesting of trees in plantation SSRs complied with code requirements?	
	<b>Steep Country Harvesting</b>	
70	Have cables been pulled through Class 1, 2, 3 SSRs without causing unacceptable damage?	
71	Have potential erosion channels on cabled areas been stabilised?	
	<b>General</b>	
72	Has the FPP and variations been followed?	

Comments:

## Reforestation

No	Questions	Yes or No or N/A
73	Has the FPP and variations been followed?	
	<b>Native Forest Regeneration</b>	
74	Has an appropriate reforestation technique been prescribed?	
75	Has fuel reduction, low/high intensity burns, been effectively carried out?	
76	Have streamside reserves been protected from fire?	
77	Have class 4 stream MEZs been protected from fire?	
78	Has appropriate seed been selected for native forest regeneration?	
79	Is an effective stocking likely to be achieved?	
80	Have trees been effectively protected from grazing & browsing damage?	
	<b>Plantation Development</b>	
81	Has burning been effectively carried out and protected streamside reserves?	

82	Was soil cultivation carried out in a manner that minimises the risk of unacceptable soil erosion?	
83	Was cultivation excluded within 2m of drainage depression?	
84	Have class 1, 2 and 3 streams and their streamside reserves been protected?	
85	Have class 4 streams and their 10m MEZs been protected?	
86	Have the specified stocking standard been achieved?	
87	Have trees been effectively protected from grazing/browsing damage?	
	<b>Fire and Access Tracks</b>	
88	Have access tracks and firebreaks been located and managed to protect soil, water and visual values?	

Comments:

### Fuels, rubbish and emissions

No	Questions	Yes or No or N/A
	<b>Fuels, rubbish and emissions</b>	
90	Has all rubbish been removed?	

Comments:

### Special values

No	Questions	Yes or No or N/A
	<b>Soils</b>	
91	Has the soil erodibility rating been correctly determined?	
92	Has landslip potential been correctly determined?	
93	Has burning intensity been appropriate for the soil erodability and nutrient status of the soils?	
94	Have coupes with high/very high erodibility soils or with land exceeding the landslide threshold been referred to the FPA?	
95	Evidence of post-operational accelerated soil erosion?	
	<b>Water Quality and Flows</b>	
96	Have all class 1, 2 3 and 4 watercourses been identified and correctly classified?	
97	Evidence of significant post-harvest stream erosion?	
	<b>Flora</b>	
104	Have the botanical requirements of the FPP or variations been followed? This may include advice about additional issues (e.g. management of weeds and disease; remnant vegetation).	
	<b>Fauna</b>	
112	Have threatened fauna prescriptions in the FPP been implemented?	
113	Have WHS prescriptions in the FPP been implemented?	
114	If required were the SMZ prescriptions in the FPP implemented?	
115	Were the WHC prescriptions in the FPP implemented?	
	<b>Landscape</b>	
117	Have all important viewing issues and landscape character been identified?	

125	Were the FPP prescriptions applied correctly to the operation in the field?	
	<b>Cultural Heritage</b>	
131	Has specialist advice and cultural heritage prescriptions in the FPP been followed?	
132	If a post operational survey was recommended, was it completed?	
	<b>Geosciences</b>	
137	Have appropriate prescriptions been included in the FPP?	
138	Have geomorphology prescriptions been implemented satisfactorily?	
139	If in a karst area, have the karst FPC provisions been followed?	

Comment: